

## Australian Corporations Law Collection

Current through June 20, 2002

Due to the evolving nature of Internet technology, there may be recent changes to the Westlaw interface and functionality that are not reflected in this documentation.

Database Description

Identifier

### CASES

#### Australian Corporations Law Cases

**AU-CORP-CS**

The AU-CORP-CS database contains authorised case reports and unreported judgments relevant to the Corporations Law practice area from the High Court of Australia and the Federal Court of Australia. A document is a decision, judgment, or order as reported by the court.

### LEGISLATION

#### Commonwealth of Australia Corporations Legislation

**AU-CORP-LEG**

The AU-CORP-LEG database contains Commonwealth of Australia Acts and Regulations relevant to the Corporations Law practice area. A document is a provision of an act or regulation, a schedule to an act or regulation, a list of defined terms or a table of renumbered sections. It includes current consolidated versions of the Corporations Act & Regulations 2001, Australian Securities and Investments Commission Act & Regulations 2001, and other primary Corporations Acts. It also contains supporting legislation, including Acts Interpretation Act 1901, Bankruptcy Act 1966, Copyright Act 1968, Crimes Act 1914, Insurance Act 1973, Trade Marks Act 1995 and the Trade Practices Act 1974.

#### Australian Corporations Law Bills, EMs, and HEMs

**AUCORP-BILLS**

The AUCORP-BILLS database contains selected Commonwealth of Australia Bills and Explanatory Memoranda as they relate to Corporations legislation. A document is a Bill or Explanatory Memorandum. Coverage varies by document type. Corporations Law Bills coverage begins in 2001. Corporations Law Explanatory Memoranda coverage begins in 1988.

### ADMINISTRATION, REGULATION AND COMPLIANCE

#### Australian Corporations Law Administration, Regulation and Compliance

**AUCORP-ADMIN**

The AUCORP-ADMIN database contains combined materials issued by various Australian agencies relating to corporations law. Documents include Business Rules, Listing Rules, Practice Notes, Instruments, Policy Statements, and other selected documents. Coverage varies by document type.

#### ASIC Announcements and Policy Combined

**ASIC-ANNPOL**

The ASIC-ANNPOL database contains documents released by the Australian Securities and Investments Commission (ASIC). This database includes material from the following databases: ASIC-CO, ASIC-MO, ASIC-PS, ASIC-PN, ASIC-PF, and ASIC-SUPERS. A document is a Policy Statement, Practice Note, Pro Forma, Class Order, Ministerial Order, or Superseded document. Policy Statements coverage begins in 1991. Practice Notes coverage is from 1991-1999. Pro Forma coverage begins in 1993. Class Orders coverage begins in 1991. Ministerial Orders coverage begins in 1998. Superseded materials coverage begins in 1996.

#### ASIC Class Orders

**ASIC-CO**

The ASIC-CO database contains documents that provide exemptions or modification to corporations legislation for different individuals issued by the Australian Securities and Investments Commission (ASIC). A document is a Class Order. Class Orders coverage begins in 1991.

#### ASIC Ministerial Orders

**ASIC-MO**

The ASIC-MO database contains orders made by the Minister under corporations legislation and issued by the Australian Securities and Investments Commission (ASIC). A document is a Ministerial Order. Ministerial orders coverage begins in 1998.

**ASIC Policy Statements****ASIC-PS**

The ASIC-PS database contains documents that set forth Policy Statements issued by the Australian Securities and Investments Commission (ASIC). Policy Statements formally declare ASIC's policies for administering corporations legislation. Interim Policy Statements provide guidance until permanent policies are developed. A document is a current or interim Policy Statement. Policy Statement coverage begins in 1991.

**ASIC Practice Notes****ASIC-PN**

The ASIC-PN database contains documents that provide guidance on reporting and compliance matters issued by the Australian Securities and Investments Commission (ASIC). A document is a current or interim Practice Note. Practice Notes coverage begins in 1991.

**ASIC Pro Formas****ASIC-PF**

The ASIC-PF database includes approved instruments and notices for dealing with standard applications to ASIC for relief from the application of corporations legislation issued by the Australian Securities and Investments Commission (ASIC). A document is an instrument or notice. Pro Forma coverage begins in 1993.

**ASIC Superseded Material****ASIC-SUPERS**

The ASIC-SUPERS database contains ASIC documents that have been superseded. A document is a superseded ASIC Class Order, Practice Note, Policy Statement, or Pro Forma. Superseded Class Orders coverage begins in 1996. Superseded Practice Notes coverage begins in 1996. Superseded Policy Statements coverage begins in 1996. Superseded Pro Forma coverage begins in 1996.

**Australian Corporations Law Information Sheets and Circulars****AUCORP-INFO**

The AUCORP-INFO database contains assorted Information Sheets and Circulars issued by the Australian Securities and Investments Commission (ASIC). A document is an Information Sheet or Circular on dealing with ASIC, ASIC searches, consumer and financial products, the financial services industry, forming and running a company, small business, ASIC agreement, or insolvency statistic. Information Sheets and Circulars coverage begins in 1997.

**ASIC Notices and Waivers Combined****ASIC-NOTICES**

The ASIC-NOTICES database contains combined Enforceable Undertakings and Instruments issued by the Australian Securities and Investments Commission (ASIC). A document is an Enforceable Undertaking or Instrument. Coverage for Enforceable Undertakings begins in 1998 and for Instruments begins in 1998.

**ASIC Enforceable Undertakings****ASIC-ENF**

The ASIC-ENF database contains Enforceable Undertakings given to ASIC, and accepted by them, that are enforceable in a court. They are generally regarded as an alternative to civil or administrative action where the law ASIC administers (ASIC Law) has been contravened. Undertakings may be accepted either in relation to ASIC's function or power under ASIC Law s93AA, or where the entity is a responsible entity of a registered scheme, in relation to a matter concerning the scheme (s93A). A document is an Enforceable Undertaking. Coverage begins in 1998.

**ASIC Instruments****ASIC-INSTR**

The ASIC-INSTR database contains Instruments which modify or give exemptions from the application of corporations legislation. These instruments are gazetted, and cover accounts and audit, takeovers, prospectus relief, prescribed interests, marketable securities, managed investments, and superannuation. A document is an Instrument. Instruments coverage begins in 1998.

**ASIC Forms****ASIC-FORMS**

The ASIC-FORMS database contains forms submitted to the Australian Securities and Investments Commission (ASIC). These are required for such things as notifying ASIC of intention to carry out a share buy-back (form 280), in order for registered companies and their officers to comply with the corporations legislation. A document is an ASIC form. Forms coverage begins in 1998.

**ASX Business Rules and Guidance Notes****ASX-BR**

The ASX-BR database contains documents that set forth the Rules governing participating organisations' relationships with the Australian Stock Exchange, with each other, and with their clients. A document is a Business Rule, appendix to a Business Rule, or a Guidance Note, which provides details on the application of the Business Rules. Business Rules coverage begins in 1996. Business Rules Guidance Notes coverage begins in 1995.

**Australian Stock Exchange Combined****ASX-ALL**

The ASX-ALL database contains documents released by the Australian Stock Exchange (ASX). A document is a Listing Rule, Listing Rule Appendix, Listing Rule Guidance Note, or Waiver. Listing Rules coverage begins with the July 2000 revision. Listing Rules Guidance Notes coverage begins in 1998. Registry of Waivers coverage begins in December 2000.

**ASX Listing Rules****ASX-LR**

The ASX-LR database contains documents which set forth the standards of behaviour for entities listed on the Australian Stock Exchange (ASX). A document is a Listing Rule, appendix to a Listing Rule, or Guidance Note, which provides details on the application of the Listing Rules. Listing Rules coverage begins with the July 2000 revision. Listing Rules Guidance Notes coverage begins in 1998.

**ASX Registry of Waivers****ASX-WAIV**

The ASX-WAIV database contains documents that set forth Waivers to Listing Rules granted by the Australian Stock Exchange (ASX) to listed entities. A document refers to a listing rule against which a Waiver (or a group of Waivers) has been granted by the ASX. Waivers coverage begins in December 2000.

**ASX Capital Liquidity Handbook****ASX-CAP**

The ASX-CAP database contains the full-text of the Capital Liquidity Handbook issued by the Australian Stock Exchange (ASX). A document is a section of the Handbook. Capital Adequacy Handbook coverage begins in July 2001.

**Newcastle Stock Exchange Combined****NSX-ALL**

The NSX-ALL database contains combined materials issued by the Stock Exchange of Newcastle (NSX). A document is a Business Rule, Business Rule part, Listing Rule, Listing Rule part, or Practice Note. Business Rules coverage begins in May 2001. Listing Rules coverage begins in May 2001. Practice Notes coverage begins in October 2000.

**NSX Business Rules****NSX-BR**

The NSX-BR database contains documents which set forth the Business Rules for entities listed on the Stock Exchange of Newcastle (NSX). A document is a Business Rule or Business Rule part. Business Rules coverage begins in May 2001.

**NSX Listing Rules****NSX-LR**

The NSX-LR database contains documents which set forth the behaviour of entities listed on the Stock Exchange of Newcastle (NSX). A document is a Listing Rule or Listing Rule part. Listing Rules coverage begins in May 2001.

**NSX Practice Notes****NSX-PN**

The NSX-PN database contains Practice Notes which are issued as a guide for participants on the Newcastle Stock Exchange (NSX), and should be read in conjunction with the Business Rules and Listing Rules to which they refer. A document is a Practice Note. Practice Notes coverage begins in October 2000.

**Sydney Futures Exchange Combined****SFE-ALL**

The SFE-ALL database contains combined materials issued by the Sydney Futures Exchange (SFE). A document is a constitutional provision, general by-law, option by-law, trading rule, business rule, Clearing Corporation by-law, or schedule. Business Rules coverage begins in December 2000. Clearing House Rules coverage begins in September 2000.

**SFE Business Rules****SFE-BR**

The SFE-BR database contains documents that set forth the business rules of the Sydney Futures Exchange (SFE). A document is a constitutional provision, general by-law, option by-law, or trading rule. Business Rules coverage begins in December 2000.

**SFE Clearing House Rules****SFE-CH**

The SFE-CH database contains documents that set forth the business rules of the Sydney Futures Exchange Clearing Corporation. A document is a business rule, clearing house by-law, or schedule. Clearing House Rules coverage begins in September 2000.

**Takeovers Panel Combined****TAKEP-ALL**

The TAKEP-ALL database contains materials issued by the Takeovers Panel (formerly the Corporations and Securities Panel). A document is a Decision, Release, draft Rule, or Policy document issued by the Takeovers Panel. Takeovers Panel Decisions, Releases, and Rules and Policy coverage begins in 2000.

**Takeovers Panel Decisions****TAKEP-DEC**

The TAKEP-DEC database contains Decisions of the Takeovers Panel, where on the basis of the facts presented to panel members, it has been decided to issue a guide to the parties to the takeover as to how they may proceed. A document is a Panel decision. Takeovers Panel Decisions coverage begins in 2000.

**Takeovers Panel Releases****TAKEP-REL**

The TAKEP-RELS database contains Releases of the Takeovers Panel, including those relating to requests for a decision from the Panel, but the Panel decided that no action is warranted. A document is a Panel Release. Takeovers Panel Releases coverage begins in 2000.

**Takeovers Panel Rules and Policy****TAKEP-RULES**

The TAKEP-RULES database contains Rules for proceedings (which cover the procedure and consultation process of the Panel), together with Policy documents about the review process. A document is a draft Rule or Policy document. Takeovers Panel Rules and Policy coverage begins in 2000.

**AGREEMENTS AND TREATIES****Australian Corporations Law Agreements and Treaties****AUCORP-AGREE**

The AUCORP-AGREE database contains Corporations Law treaty and agreement materials issued by the Commonwealth of Australia. Inter-agency agreement coverage begins in 1998. Inter-governmental agreement coverage begins in 1998.

**Australian Inter-Agency Agreements****AU-AGREE-AGENCY**

The AU-AGREE-AGENCY database contains agreements between different agencies of the Australian public and private sector. A document is a written agreement. Inter-agency agreement coverage begins in 1998.

**Australian Inter-Government Agreements****AU-AGREE-GOV**

The AU-AGREE-GOV database contains international agreements between the Australian government and other countries. A document is an international treaty. Inter-governmental treaty coverage begins in 1998.

**CODES OF PRACTICE AND STANDARDS****Australian Corporations Law Codes of Practice and Standards****AUCORP-CODES**

The AUCORP-CODES database contains combined materials issued by the Australian Finance Industry, and Information, Technology, and Privacy Industry. A document is a Finance Industry, or Information, Technology, and Privacy code or section of code. Finance Industry codes coverage begins in 1993. Information, Technology, and Privacy codes coverage begins in 2000.

**Australian Accounting Standards Board****AASB-CODES**

The AASB-CODES database contains accounting standards and other accounting material developed by the Australian Accounting Standards Board (AASB). This board was established by section 224 of the Australian Securities Commission Act 1989. It has responsibility for developing accounting standards for application by companies and other entities in the private sector and, since implementation of new institutional arrangements for accounting standard setting in 2000, as a result of CLERP (Corporate Law Economic Reform Program) reforms, has also taken on responsibility for standards which apply to the public sector. A document is an accounting standard or other accounting material. Coverage begins with 1987.

**Australian Finance Industry Codes****AU-FINCODES**

The AU-FINCODES database contains industry codes of practice developed by a number of Australian Finance Industry associations. Membership of the codes is voluntary however, general insurance companies are required to join an industry code that has been approved by the Australian Securities and Investments Commission (ASIC). ASIC has power to approve financial services sector codes, and monitors compliance from banks, credit unions, and building societies with the relevant codes, together with whether they adhere to the EFT code. A document is a code or section of a code. Financial Services Industry codes coverage begins in 1993.

**Australian Information, Technology, and Privacy Codes****AU-ITCODES**

The AU-ITCODES database contains codes of practice relating to the Australian Information, Technology, and Privacy sectors. A document is a code or section of a code. Information, Technology, and Privacy codes coverage begins in 2000.

**COMMENTARY, ANALYSIS AND INTERPRETATION****Australian Corporations Law Commentary, Analysis, and Interpretation****AUCORP-COMM**

The AUCORP-COMM database contains combined materials from the Australian E-Company Guide and Financial Services and Markets. A document is authored commentary, analysis or interpretation of Australian corporations legislation and policy. E-Company Guide coverage begins in 2001. Financial Services and Markets coverage begins in 2002.

**Australian Financial Services and Markets****AU-FSM**

The AU-FSM database contains indexes, commentary, analysis and interpretation of law and policy relating to Australian Financial Services and Markets. Financial Services and Markets coverage begins in 2002.

## **E-Company Guide**

## **ECOMP-GDE**

The E-Company Guide is authored material which interprets Australian primary law (and in some cases, other content types). The E-Company Guide provides commentary on steps involved in negotiating, confirming and performing commercial transactions by entities in relation to e-commerce. This also includes both the contractual relationship formed in those transactions and the regulatory or administrative steps necessary to the conduct of those transactions. A document is a section of the Guide. Coverage begins in 2001.

## **NEWS AND ADDITIONAL INFORMATION**

### **Australian Corporations Law News and Additional Information**

### **AUCORP-NEWS**

The AUCORP-NEWS database contains information from various Australian organisations relating to corporations legislation. A document is a media release, speech, or other information release. Media and information releases and circulars coverage begins in 1997. Speeches coverage begins in 1995.

### **Australian Corporations Law Media and Information Releases**

### **AUCORP-MEDIA**

The AUCORP-MEDIA database contains Media and Information Releases issued by the Australian Securities and Investments Commission (ASIC). A document is a media or information release or circular. Media and information releases coverage begins in 1997.

### **Australian Corporations Law Speeches**

### **AUCORP-SPEECH**

The AUCORP-SPEECH database contains speeches presented by the chairman or other ASIC executives at various conferences, workshops, and other professional seminars. A document is a transcript of a speech. Speeches coverage begins in 1995.

## **POLICY AND REPORTS**

### **Australian Corporations Law Policy and Reports**

### **AUCORP-POLRPTS**

The AUCORP-POLRPTS database contains combined information from various Australian organisations and committees regarding the impact and operation of corporations legislation. A document is a Parliamentary committee report, policy paper, transcript of parliamentary proceeding, government report, inquiry report, proposal paper, discussion paper, or submission paper. Parliamentary committee report coverage begins in 1989. Policy paper coverage begins in 2000. Transcript coverage begins in 1998. Government report and inquiry coverage begins in 1995. Proposal, discussion, and submission papers coverage begins in 1997.

### **Australian Parliamentary and Committee Reports**

### **AU-PARLRPTS**

The AU-PARLRPTS database contains Australian parliamentary committee reports, policy papers, and transcripts of parliamentary proceedings as they relate to the impact and operation of corporations legislation. A document is a parliamentary committee report, policy paper, or transcript of parliamentary proceedings. Parliamentary committee report coverage begins in 1989. Policy paper coverage begins in 2000. Transcript coverage begins in 1998.

### **Australian Government Reports and Inquiries**

### **AU-GOVRPTS**

The AU-GOVRPTS database contains Australian government reports and inquiry reports and proposal, discussion, and submission papers as they relate to the impact and operation of corporations legislation. A document is a government report, inquiry report, or proposal, discussion, or submission paper. Government report and inquiry coverage begins in 1995. Proposal, discussion, and submission papers coverage begins in 1997.

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For information on any aspect of Westlaw including research assistance, contact us:

Phone: 1800 110 009  
Email: [askwestlaw@thomson.com.au](mailto:askwestlaw@thomson.com.au)  
**visit [westlaw.com.au](http://westlaw.com.au)**

The logo for Westlaw Australia, featuring the word "Westlaw" in a blue serif font with a stylized blue swoosh underneath it, followed by the word "Australia" in a blue serif font.

The Thomson logo, consisting of the word "THOMSON" in a bold, blue, sans-serif font, with a small blue star-like symbol below the letter "O".