

# Superannuation Reading List

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# Superannuation Reading List

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# Contents

## Cases

Commonwealth Cases .....	4
Australian Capital Territory .....	4
New South Wales .....	4
Northern Territory .....	4
Victoria .....	4
Western Australia .....	4
Minister for Justice and Customs.....	4
Official Trustee in Bankruptcy.....	5
Australian Competition and Consumer Commission.....	5
Australian Industrial Relations Commission.....	8
Australian Prudential Regulation Authority .....	8
Commissioner for Superannuation .....	8
Superannuation Complaints Tribunal.....	8
Reserve Bank of Australia.....	8
Australian Securities and Investments Commission .....	8
Australian Stock Exchange .....	9
Australian Trade Commission .....	9
Commissioner of Police.....	9
Commissioner of Stamp Duties .....	10
Commissioner of State Revenue.....	10
Commissioner of Taxation .....	10
Companies Auditors and Liquidators Disciplinary Board.....	13
Department of Family and Community Services.....	13
Director of Public Prosecutions .....	14
Repatriation Commission .....	14

## Legislation

Commonwealth Acts .....	14
Commonwealth Regulations .....	15

## Regulatory Materials

Australian Prudential Regulation Authority (APRA) .....	15
Australian Securities and Investments Commission (ASIC).....	18
Australian Transaction Reports and Analysis Centre (AUSTRAC).....	20
Treasury Superannuation Working Group .....	20
Financial Industry Complaints Service (FICS).....	20
Media Releases .....	21

# Superannuation Reading List

## Cases

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*HIGH COURT OF AUSTRALIA; Attorney-General (Q) v Australian Industrial Relations Commission Minister for Employment and Workplace Relations v Australian Industrial Relations Commission*; [2002] HCA 42; 3 October 2002 DPB53

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*Australian Capital Territory v Munday*; 99 FCR 72; 173 ALR 1; 2000 WL 1242777; [2000] FCA 653; 19 May 2000; FCA

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*New South Wales v Commonwealth Bank of Australia*; 2001 WL 1479280; [2001] NSWSC 1067; 24 Oct 2001; NSWSC

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*Chan v Minister for Justice and Customs*; 108 FCR 65; 2001 WL 275009; [2001] FCA 170; 2 March 2001; FCA



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*Official Trustee in Bankruptcy v Trevor Newton Small Superannuation Fund Pty Ltd and Another*; 114 FCR 160; 2001 WL 1910049; [2001] FCA 1267; 6 Sept 2001; FCA

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- J McPhee & Son (Aust) Pty Ltd v Australian Competition & Consumer Commission*; 172 ALR 532; [2000] ATPR 40,881 (41-758); 2000 WL 1241911; [2000] FCA 365; 12 April 2000; FCA
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- Australian Competition & Consumer Commission v Amcor Printing Papers Group Ltd*; 169 ALR 344; [2000] ATPR 40,663 (41-749); 2000 WL 1240232; [2000] FCA 17; 19 Jan 2000; FCA
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- Australian Competition & Consumer Commission v Telstra Corporation Ltd*; 96 FCR 317; [2000] ATPR 40,656; 2000 WL 1240274; [2000] FCA 28; 14 Jan 2000; FCA
- Australian Competition & Consumer Commission v Rural Press Ltd*; 96 FCR 141; 169 ALR 201; [2000] ATPR 40,560; 1999 WL 33123946; [1999] FCA 1847; 24 Dec 1999; FCA
- Australian Competition and Consumer Commission v Top Snack Foods Pty Ltd*; 1999 WL 33124099; [1999] FCA 1830; 15 Dec 1999; FCA
- Australian Competition and Consumer Commission v Tyco Australia Pty Ltd*; 1999 WL 33124025; [1999] FCA 1799; 14 Dec 1999; FCA
- Australian Competition & Consumer Commission v Boral Ltd [No 2]*; [2000] ATPR 40,554 (41-738); 1999 WL 33123097; [1999] FCA 1641; 26 Nov 1999; FCA
- Australian Competition & Consumer Commission v Sundaze Australia Pty Ltd*; [2000] ATPR 40,532 (41-736); 1999 WL 33122994; [1999] FCA 1642; 17 Nov 1999; FCA
- Australian Competition & Consumer Commission v Tubemakers of Australia Ltd*; [2000] ATPR 40,626 (41-745); 1999 WL 33123651; [1999] FCA 1787; 12 Nov 1999; FCA
- Australian Competition & Consumer Commission v Matta*; 1999 WL 33122736; [1999] FCA 1491; 29 Oct 1999; FCA
- Australian Competition & Consumer Commission v CG Berbatis Holdings Pty Ltd*; 95 FCR 292; 167 ALR 303; 1999 WL 33122337; [1999] FCA 1151; 18 Oct 1999; FCA
- Australian Competition & Consumer Commission v Real Estate Institute (WA)*; 95 FCR 114; [1999] ATPR 43,325 (41-719); 1999 WL 33122741; [1999] FCA 1387; 8 Oct 1999; FCA
- Australian Competition & Consumer Commission v Simply No Knead (Franchising) Pty Ltd*; 1999 WL 33123948; [1999] FCA 1842; 24 Dec 1999; FCA
- Australian Competition & Consumer Commission v Boral Ltd*; 166 ALR 410; [1999] ATPR 43,205 (41-715); 1999 WL 33121396; [1999] FCA 1318; 22 Sept 1999; FCA
- Australian Competition and Consumer Commission v Giraffe World Australia Pty Ltd and Others (No 2)*; 1999 WL 33124534, [1999] ATPR 43,280, 95 FCR 302, 166 AR 74, [1999] FCA 1161 (FCA, Aug 26, 1999)
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# Superannuation Reading List

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[MR 01/314]	Two year ban on Peshurst man Media Release ASIC Issued 5 Sep 2001
[MR 01/305]	Men charged over superannuation redemption Media Release ASIC Issued 24 Aug 2001
[MR 01/246]	ASIC steps in to protect superannuation funds Media Release ASIC Issued 13 Jul 2001
[MR 01/243]	FIDO straight to your desktop! Media Release ASIC Issued 11 Jul 2001
[MR 01/196]	ASX submission to Senate Select Committee Media Release ASIC Issued 6 Jun 2001
[MR 01/187]	OAMPS director Kingsley Lamont gives court undertaking to resign from board Media Release ASIC Issued 1 Jun 2001
[MR 01/177]	Former Melbourne investment adviser to stand trial on fraud charges Media Release ASIC Issued 30 May 2001
[MR 01/171]	ASIC freezes assets of insurance agent Joseph McNeany Media Release ASIC Issued 28 May 2001
[MR 01/172]	Former Dandenong insurance broker convicted Media Release ASIC Issued 28 May 2001
[MR 01/169]	ASIC acts to freeze former HIH directors' assets Media Release ASIC Issued 24 May 2001
[MR 01/154]	Investment adviser permanently banned Media Release ASIC Issued 17 May 2001
[MR 01/144]	ASIC acts to protect clients of Integrated Insurance Service Media Release ASIC Issued 3 May 2001
[MR 01/140]	Chapel Road has dealers licence cancelled Media Release ASIC Issued 1 May 2001

# Superannuation Reading List

[MR 01/127]	ASIC announces compensation package for investors Media Release ASIC Issued 11 Apr 2001
[MR 01/123]	ASIC steps in to protect livestock insurance customers Media Release ASIC Issued 9 Apr 2001
[MR 01/113]	Canberra investment adviser banned for life Media Release ASIC Issued 2 Apr 2001
[MR 01/110]	AAT grants conditional stay of banning order Media Release ASIC Issued 28 Mar 2001
[MR 01/109]	ASIC to review compliance by insurance brokers Media Release ASIC Issued 27 Mar 2001
[MR 01/108]	Cancellation of Adelaide broker's registration stayed--on condition Media Release ASIC Issued 26 Mar 2001
[MR 01/99]	Adelaide insurance broker deregistered by ASIC Media Release ASIC Issued 21 Mar 2001
[MR 01/100]	Consumer alert: ASIC warns of advisers offering early access to superannuation Media Release ASIC Issued 21 Mar 2001
[MR 01/101]	Federal Court orders WIN to stay out of super Media Release ASIC Issued 21 Mar 2001
[MR 01/96]	AAT upholds order against eastern suburbs insurance broker Media Release ASIC Issued 20 Mar 2001
[MR 01/94]	ASIC dot gov grows to help its 2.3 million visitors Media Release ASIC Issued 19 Mar 2001
[MR 01/89]	ASIC settles proceedings against TWU Superannuation Fund Media Release ASIC Issued 15 Mar 2001
[MR 01/69]	Three month jail sentence for broker upheld Media Release ASIC Issued 7 Mar 2001
[MR 01/67]	ASIC obtains consent orders against JFA insurance brokers Media Release ASIC Issued 5 Mar 2001
[MR 01/68]	ASIC bans another investment adviser Media Release ASIC Issued 5 Mar 2001
[MR 01/41]	ASIC acts to protect investors in Commercial Nominees Media Release ASIC Issued 13 Feb 2001
[MR 01/15]	ASIC secures first criminal conviction relating to phoney international bond scheme Media Release ASIC Issued 24 Jan 2001
[MR 00/539]	ASIC lifts suspension of Bondi insurance broker Media Release ASIC Issued 15 Dec 2000
[MR 00/541]	ASIC charges net 3.5 years for Zagari Media Release ASIC Issued 15 Dec 2000
[MR 00/538]	Damien Parkes guilty of \$162,500 fraud Media Release ASIC Issued 14 Dec 2000
[MR 00/533]	ASIC acts against GIO's potentially misleading insurance advertising Media Release ASIC Issued 13 Dec 2000
[MR 00/531]	Unregistered insurance broker pleads guilty Media Release ASIC Issued 12 Dec 2000
[MR 00/524]	St Albans insurance agent wound up Media Release ASIC Issued 11 Dec 2000
[MR 00/520]	ASIC records first criminal conviction relating to phoney international bonds Media Release ASIC Issued 6 Dec 2000
[MR 00/507]	ASIC suspends registration of Bondi insurance broker Media Release ASIC Issued 29 Nov 2000
[MR 00/497]	ASIC's top 10 finance tips for young adults Media Release ASIC Issued 24 Nov 2000
[MR 00/494]	ASIC restructures senior management Media Release ASIC Issued 23 Nov 2000
[MR 00/480]	ASIC obtains injunctions against superannuation adviser Media Release ASIC Issued 15 Nov 2000
[MR 00/483]	ASIC in court against TWU Superannuation Fund trustee Media Release ASIC Issued 15 Nov 2000
[MR 00/453]	ASIC takes action against TWU Superannuation Fund trustee Media Release ASIC Issued 3 Nov 2000
[MR 00/451]	ECCCL director committed to stand trial Media Release ASIC Issued 2 Nov 2000
[MR 00/425d]	ASIC annual report--Queensland highlights Media Release ASIC Issued 17 Oct 2000
[MR 00/425e]	ASIC annual report--SA highlights Media Release ASIC Issued 17 Oct 2000
[MR 00/425f]	ASIC annual report--Tasmanian highlights Media Release ASIC Issued 17 Oct 2000
[MR 00/425g]	ASIC annual report--Victorian highlights Media Release ASIC Issued 17 Oct 2000
[MR 00/425]	ASIC's annual report highlights investor protection and enforcement Media Release ASIC Issued 17 Oct 2000
[MR 00/408]	ASIC accepts enforceable undertaking from superannuation fund Media Release ASIC Issued 26 Sep 2000
[MR 00/402]	ASIC action against superannuation adviser Media Release ASIC Issued 21 Sep 2000
[MR 00/397]	Melbourne accountant pleads guilty to superannuation charges Media Release ASIC Issued 14 Sep 2000
[MR 00/381]	Former Westpac representative banned for life Media Release ASIC Issued 5 Sep 2000
[MR 00/377]	Complaints resolution scheme membership Media Release ASIC Issued 1 Sep 2000
[MR 00/378]	ASIC bites Mint Media Release ASIC Issued 1 Sep 2000
[MR 00/369]	Former Ballarat MLC agent pleads guilty Media Release ASIC Issued 25 Aug 2000
[MR 00/358]	Fido will help you find what you need Media Release ASIC Issued 21 Aug 2000
[MR 00/346]	Camden company wound up and directors banned Media Release ASIC Issued 14 Aug 2000
[MR 00/309]	Ferntree Gully insurance broker gaoled Media Release ASIC Issued 21 Jul 2000
[MR 00/307]	Financial adviser charged on fraud, forgery charges Media Release ASIC Issued 14 Jul 2000
[MR 00/296]	Hot issues for ASIC e-commerce conference Media Release ASIC Issued 12 Jul 2000
[MR 00/285]	ASIC calls for public comment on consumer education Media Release ASIC Issued 3 Jul 2000
[MR 00/281]	Free investor forum in Tamworth next week Media Release ASIC Issued 29 Jun 2000
[MR 00/278]	Free investor forum in Coffs Harbour next week Media Release ASIC Issued 28 Jun 2000
[MR 00/277]	Free investor forum in Newcastle next week Media Release ASIC Issued 27 Jun 2000
[MR 00/274]	Free investor forum in Orange next week Media Release ASIC Issued 26 Jun 2000
[MR 00/260]	ASIC to hold free investor forum in Tamworth Media Release ASIC Issued 19 Jun 2000
[MR 00/0258]	ASIC to hold free investor forum in Coffs Harbour Media Release ASIC Issued 16 Jun 2000
[MR 00/257]	ASIC to hold free investor forum in Newcastle Media Release ASIC Issued 15 Jun 2000
[MR 00/254]	ASIC to hold free investor forum in Orange Media Release ASIC Issued 14 Jun 2000
[MR 00/255]	ASIC to visit Bunbury Media Release ASIC Issued 14 Jun 2000



[MR 00/250]	ASIC to visit Kalgoorlie Media Release ASIC Issued 7 Jun 2000
[MR 00/241]	EC Consolidated Capital Ltd (in liq) Media Release ASIC Issued 1 Jun 2000
[MR 00/242]	Greenacres directors committed for trial Media Release ASIC Issued 1 Jun 2000
[MR 00/227]	ASIC to visit Albany Media Release ASIC Issued 24 May 2000
[MR 00/225]	Final orders obtained in relation to Residential Australia and Michael Lohmann Media Release ASIC Issued 23 May 2000
[MR 00/223]	Service company of liquidator placed into liquidation Media Release ASIC Issued 22 May 2000
[MR 00/129]	ASIC speaks on enforceable undertakings Media Release ASIC Issued 18 May 2000
[MR 00/210]	ASIC accepts enforceable undertaking from Wollongong insurance company Media Release ASIC Issued 10 May 2000
[MR 00/203]	Chimes internet publisher gaoled Media Release ASIC Issued 4 May 2000
[MR 00/200]	Second Sentinel director gaoled following ASIC/AFP investigation Media Release ASIC Issued 3 May 2000
[MR 196]	ASIC obtains interim orders against share club operators Media Release ASIC Issued 2 May 2000
[MR 00/182]	Canberra investment adviser sentenced Media Release ASIC Issued 26 Apr 2000
[MR 00/178]	ASIC seeks \$10 million from EPAS, directors and auditor Media Release ASIC Issued 20 Apr 2000
[MR 00/157]	ASIC obtains orders against Residential Australia Ltd and Michael Lohmann Media Release ASIC Issued 6 Apr 2000
[MR 00/0149]	Enforceable undertakings--do you know what they are? Media Release ASIC Issued 31 Mar 2000
[MR 00/142]	Former AMP adviser banned for life Media Release ASIC Issued 28 Mar 2000
[MR 00/141]	Former insurance agent pleads guilty to theft of superannuation money Media Release ASIC Issued 27 Mar 2000
[MR 00/125]	ASIC launches new website for consumers Media Release ASIC Issued 21 Mar 2000
[MR 00/105]	Orders obtained against internet site publisher Media Release ASIC Issued 7 Mar 2000
[MR 00/90]	Receiver and manager appointed to Camden company Media Release ASIC Issued 29 Feb 2000
[MR 00/59]	Former adviser receives life ban Media Release ASIC Issued 9 Feb 2000
[MR 00/54]	Insurance company directors banned Media Release ASIC Issued 8 Feb 2000
[MR 00/38]	ASIC establishes key training advisory body for financial services industry Media Release ASIC Issued 31 Jan 2000
[MR 00/33]	Investor directed portfolio services Media Release ASIC Issued 27 Jan 2000
[MR 00/13]	Super funds put on notice over disclosure Media Release ASIC Issued 13 Jan 2000
[MR 00/8]	Failed Blacktown chef begins gaol term Media Release ASIC Issued 11 Jan 2000
[MR 99/490]	ASIC reviews its 1999 record Media Release ASIC Issued 30 Dec 1999
[MR 99/485]	Former Hillross adviser receives life ban Media Release ASIC Issued 22 Dec 1999
[MR 99/477]	Chef cooks up three months gaol Media Release ASIC Issued 21 Dec 1999
[MR 99/480]	Life ban for securities representative Media Release ASIC Issued 21 Dec 1999
[MR 99/472]	Melbourne investment adviser gaoled Media Release ASIC Issued 15 Dec 1999
[MR 99/462]	Former insurance agent Ronald Stanley charged Media Release ASIC Issued 10 Dec 1999
[MR 99/447]	ASIC moves to protect superannuation entitlements Media Release ASIC Issued 30 Nov 1999
[MR 99/445]	Listening to ASIC can save you money Media Release ASIC Issued 29 Nov 1999
[MR 99/414]	Re-arrest of company director Media Release ASIC Issued 10 Nov 1999
[MR 99/390]	ASIC and ASFA undertake joint super initiative Media Release ASIC Issued 28 Oct 1999
[MR 99/388]	Are you sure you'll never be ripped off? Media Release ASIC Issued 24 Oct 1999
[MR 99/382a]	Annual report--NSW highlights Media Release ASIC Issued 20 Oct 1999
[MR 99/382b]	Annual report--Victorian highlights Media Release ASIC Issued 20 Oct 1999
[MR 99/382]	ASIC reports on its first year Media Release ASIC Issued 20 Oct 1999
[MR 99/371]	Superannuation documents under review Media Release ASIC Issued 14 Oct 1999
[MR 99/368]	Company director arrested Media Release ASIC Issued 13 Oct 1999
[MR 99/357]	Free ASIC forum--the perils and pitfalls of investing Media Release ASIC Issued 5 Oct 1999
[MR 99/350]	Are you sure you'll never be ripped off? Media Release ASIC Issued 30 Sep 1999
[MR 99/352]	Custodian requirements for APRA-supervised entities Media Release ASIC Issued 30 Sep 1999
[MR 99/348]	Michael James Camilleri pleads guilty Media Release ASIC Issued 27 Sep 1999
[MR 99/338]	Free ASIC forum--the perils and pitfalls of investing Media Release ASIC Issued 23 Sep 1999
[MR 99/340]	Melbourne accountant arrested and charged Media Release ASIC Issued 23 Sep 1999
[MR 99/328]	Minister reveals hidden stock of consumer education Media Release ASIC Issued 17 Sep 1999
[MR 99/307]	ASIC on show at the Royal Adelaide Show Media Release ASIC Issued 2 Sep 1999
[MR 99/296]	ASIC obtains final orders against former insurance agent David Young Media Release ASIC Issued 23 Aug 1999
[MR 99/289]	ASIC accepts enforceable undertaking from Yates Security Limited Media Release ASIC Issued 19 Aug 1999
[MR 99/226]	Free ASIC forum spills the beans on shonky schemes and superannuation Media Release ASIC Issued 6 Jul 1999
[MR 99/214]	Super Decisions--making superannuation easy Media Release ASIC Issued 28 Jun 1999
[MR 99/202]	Sentinel director jailed following ASIC/AFP investigation Media Release ASIC Issued 21 Jun 1999
[MR 99/192]	ASIC welcomes Tasmanian Regional Commissioner Media Release ASIC Issued 17 Jun 1999
[MR 99/194]	Super Tribunal's powers restored Media Release ASIC Issued 17 Jun 1999
[MR 99/195]	Effect on Corporations Law of High Court's cross-vesting decisions Media Release ASIC Issued 17 Jun 1999

[MR 99/188] Liquidator appointed to Greenacres Management Pty Ltd Media Release ASIC Issued 15 Jun 1999

[MR 99/153] Greenacres director charged by ASIC Media Release ASIC Issued 20 May 1999

[MR 99/134] ASIC takes action to protect clients of insurance agent David Young Media Release ASIC Issued 10 May 1999

[MR 99/135] ASIC investor alert--keep track of your superannuation Media Release ASIC Issued 10 May 1999

[MR 99/124] Receiver/manager appointed to Greenacres Management Pty Ltd Media Release ASIC Issued 30 Apr 1999

[MR 99/73] Superannuation agent jailed Media Release ASIC Issued 22 Mar 1999

[MR 99/29] Sentinel directors appear in court following joint ASIC/AFP investigation Media Release ASIC Issued 15 Feb 1999

[MR 99/22] ASIC creates Office of Consumer Protection Media Release ASIC Issued 8 Feb 1999

[MR 99/7] Research project looks for consumer education gaps Media Release ASIC Issued 15 Jan 1999

[MR 99/6] ASIC avoids regulatory duplication Media Release ASIC Issued 14 Jan 1999

[MR 98/393] Houghton pleads guilty to ASIC fraud charges Media Release ASIC Issued 22 Dec 1998

[MR 98/386] ASIC gives guidance on common problems with "Good Advice" Media Release ASIC Issued 17 Dec 1998

[MR 98/382] ASIC announces new Regional Commissioner for Victoria Media Release ASIC Issued 15 Dec 1998

[MR 98/376] New ASIC Regional Commissioner for Sydney Media Release ASIC Issued 7 Dec 1998

[MR 98/361] ASIC and insurers work together to secure position of superannuation investors Media Release ASIC Issued 24 Nov 1998

[MR 98/355] Minister launches new ASIC key advisory body Media Release ASIC Issued 20 Nov 1998

[MR 98/352] Former Tasmanian director banned from managing companies for four years Media Release ASIC Issued 18 Nov 1998

[MR 98/344C] ASIC annual report--ACT highlights Media Release ASIC Issued 12 Nov 1998

[MR 98/344] ASIC annual report highlights new directions and well-served stakeholders Media Release ASIC Issued 12 Nov 1998

[MR 98/332] ASIC provides guidance on disclosure of directors' and officers' remuneration Media Release ASIC Issued 1 Nov 1998

[MR 98/325] ASIC appoints liquidator to Northern Territory company Media Release ASIC Issued 23 Oct 1998

[MR 98/309] ASIC and APRA sign MOU Media Release ASIC Issued 12 Oct 1998

[MR 98/306] ASIC application successful in Remy-Moffatt Group Media Release ASIC Issued 8 Oct 1998

[MR 98/259] Superfund administrators jailed Media Release ASIC Issued 31 Aug 1998

[MR 98/246] Four year ban for securities representative Gregory John Daly Media Release ASIC Issued 18 Aug 1998

[MR 98/216] Sentinel directors in court Media Release ASIC Issued 21 Jul 1998

[MR 98/190] ASIC ready to go Media Release ASIC Issued 30 Jun 1998

[MR 98/189] Peter Kell joins ASIC team Media Release ASIC Issued 28 Jun 1998

[MR 98/129] ASC executes corporate governance instrument permitting collective action by institutional investors Media Release ASIC Issued 8 May 1998

[MR 98/98] ASC permanently bans securities representative Robbert Jan Klomp Media Release ASIC Issued 15 Apr 1998

[MR 98/83] Victorian financial adviser banned Media Release ASIC Issued 31 Mar 1998

[MR 98/50] ASC makes it easier for people to complain about financial services Media Release ASIC Issued 26 Feb 1998

[MR 98/31] ASC seeks comment on the development of competencies for financial advisers Media Release ASIC Issued 5 Feb 1998

[MR 98/28] ASC Chairman congratulates new industry association Media Release ASIC Issued 3 Feb 1998

[MR 98/20] Investor alert--lessons to be learnt from '97 collapses Media Release ASIC Issued 28 Jan 1998

[MR 98/6] ASC assists corporate governance by permitting collective action by institutional investors Media Release ASIC Issued 14 Jan 1998

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